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| APPLICATION NO.                                                          | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------------------------------------------------|-----------------|----------------------|---------------------|------------------|
| 10/001,439                                                               | 10/25/2001      | Bill H. McAnalley    | 013258.0294         | 2421             |
| 27683                                                                    | 7590 05/27/2005 |                      | EXAM                | INER             |
| HAYNES AND BOONE, LLP<br>901 MAIN STREET, SUITE 3100<br>DALLAS, TX 75202 |                 |                      | COE, SUSAN D        |                  |
|                                                                          |                 |                      | ART UNIT            | PAPER NUMBER     |
| ,                                                                        |                 |                      | 1654                |                  |
|                                                                          |                 |                      |                     |                  |

DATE MAILED: 05/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Application No.                                                                                                                                                                                                   | Applicant(s)       |  |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--|--|--|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 10/001,439                                                                                                                                                                                                        | MCANALLEY, BILL H. |  |  |  |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Examiner                                                                                                                                                                                                          | Art Unit           |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Susan D. Coe                                                                                                                                                                                                      | 1654               |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                    |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed on <u>16 March 2005</u> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 2a)⊠ This action is <b>FINAL</b> . 2b)□ This                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ↑ This action is <b>FINAL</b> . 2b) ↑ This action is non-final.                                                                                                                                                   |                    |  |  |  |  |
| <i>,</i> —                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |                    |  |  |  |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 4) Claim(s) 1,8-17 and 19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) Claim(s) is/are allowed.  6) Claim(s) 1,8-17 and 19 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/or election requirement.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Priority under 35 U.S.C. § 119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                   |                    |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                    |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:                                                                                                                                     |                    |  |  |  |  |

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## **DETAILED ACTION**

1. The amendment filed March 16, 2005, has been received and entered. The text of those sections of Title 35, U.S. Code, not included in this action can be found in a prior Office action.

- 2. Claims 20 and 24 have been cancelled.
- 3. Claims 1, 8-17 and 19 are pending.

## Claim Rejections - 35 USC § 103

4. Claims 1, 8-17, and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat. No. 5,576,015, US Pat. No. 5,531,989, and WO 97/05884 for the reasons set forth in the previous Office action.

All of applicant's argument regarding this ground of rejection have been fully considered but are not persuasive. Applicant argues that because there are hundreds of ingredients known to strengthen the immune system there is no motivation in the art to select the specific ingredients claimed by applicant for combination. Applicant argues that the combination of the stated references is improper hindsight reasoning.

However, as discussed in MPEP section 2144.06 "It is prima facie obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, in order to form a third composition to be used for the very same purpose.... [T]he idea of combining them flows logically from their having been individually taught in the prior art."

US '015 teaches that beta-glucan from yeast cell walls enhances host immune resistance to diseases caused by bacterial and viral infection (see column 1, lines 20-38).

US '989 teaches that a composition comprising lactoferrin and fiber enhances the patients immune system and treats diseases caused by bacteria, viruses, fungi, and parasites (see column 3, lines 32-50). US '989 teaches that the fiber is from citrus pectin and from guar gum. Guar gum is specifically claimed by applicant in claim 19 as containing "essential saccharides."

Thus, this reference shows that it was known in the art at the time of the invention that compositions containing lactoferrin, "essential saccharides," and citrus pectin enhance the immune system and also treat diseases caused by bacterial and viral infection.

WO '884 teaches that lactoferrin and colostrum strengthen the immune system (see page 3, second paragraph). In addition, this composition is taught to treat diseases caused by bacterial and viral infections (see page 2, last paragraph).

Thus, these references show that it was well known in the art at the time of the invention that all of the claimed ingredients, essential saccharides, lactoferrin, colostrum, and citrus pectin, were known to enhance a patient's immune response and to treat bacterial and viral infections. Since it has been well established that it is obvious to combine two or more ingredients that are known to be used for the same purpose, it is obvious to combine the compositions taught by the prior art into one composition. Motivation for such a combination stems from the teaching of equivalence of the ingredients in the art for the dual purposes of enhancing the immune system and treating bacterial and viral diseases.

In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the

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time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971). Since the rejection is only based on what was known in the art at the time of the invention, improper hindsight was not used in this rejection.

## 5. No claims are allowed.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan Coe whose telephone number is (571) 272-0963. The examiner can normally be reached on Monday to Thursday from 8:00 to 5:30 and on alternating Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell, can be reached on (571) 272-0974. The official fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature or relating to the status of this application or proceeding can be directed to the receptionist whose telephone number is (571) 272-1600.

Swan D. Col. 5-24-05

Susan D. Coe Primary Examiner Art Unit 1654